



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/728,829

12/08/2003

Kuo-Hui Li

SUND 493

9288

23995

7590

03/11/2008

RABIN & Berdo, PC

1101 14TH STREET, NW

SUITE 500

WASHINGTON, DC 20005

EXAMINER

SMITH, JOSHUA Y

ART UNIT

PAPER NUMBER

2619

MAIL DATE

DELIVERY MODE

03/11/2008

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/728,829	Applicant(s) LI ET AL.	
	Examiner JOSHUA SMITH	Art Unit 2619	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 05 December 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-18 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 1-3 is/are allowed.
- 6) ☒ Claim(s) 4-18 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

The amendment filed on 12/5/2007 has been entered.

- **Claims 1-18 are pending.**
- **Claims 1-3 are allowed.**
- **Claims 4-18 stand rejected.**

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 4 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Zweig et al. (Patent No.: US 7,154,854 B1) in view of Tanigichi et al. (Patent No.: US 6,445,679 B1), hereafter referred to as Zweig and Tanigichi, respectively.

As for Claim 4, Zweig teaches in column 8, lines 27-28, and FIG. 4, Sheet 3 of 4, in step item 404, determining a factor indicative of the error(s) that occurred in a transmission (substantively the same as “(1) calculating a first estimated PER, denoted as $P_1(r_n)$ ” in the instant invention).

Zweig also teaches in column 8, lines 37-38, and FIG. 4, Sheet 3 of 4, comparing a transmission error factor to a predetermined upper threshold, where, in step item 406 shows “IS FACTOR ABOVE AN UPPER THRESHOLD?”, and if “YES” is correct, the routine enters step item 408, and if “NO” is correct, the process enters step item 410 (substantively the same as “(2) checking whether the $P_1(r_n)$ being larger than the $Q_H(r_n)$, if yes, processing step (3), else processing step (4)” and “(7) checking whether the $P_2(r_n)$ being larger than the $Q_H(r_n)$, if yes, processing step (8)” in the instant invention).

Zweig also teaches in column 8, lines 45-46, and FIG. 4, Sheet 3 of 4, the routine will reduce a transmission parameter at step item 408 (substantively the same as “reducing” in the instant invention).

Zweig also teaches in column 8, lines 27-28 and 33-36, and FIG. 4, Sheet 3 of 4, routine item 400 is a looping routine, showing an error factor is calculated for every revolution of the routine will, and multiple revolutions of the routine will produce multiple error factors (substantively the same as “(4) calculating a second estimated PER, denoted as $P_2(r_n)$ ” in the instant invention).

Zweig also teaches in column 8, lines 53-54, and FIG. 4, Sheet 3 of 4, comparing a transmission factor error to a pre-determined lower threshold, where step item 410

Art Unit: 2619

consists "IS FACTOR BELOW A LOWER THRESHOLD?", and if "YES" is correct, the routine enters step item 412, and if "NO" is correct, the routine enters step item 402 (substantively the same as "(5) checking whether the $P_2(r_n)$ being smaller than the $Q_L(r_n)$, if yes, processing step (6), else processing step (7)" in the instant invention).

Zweig also teaches in column 8, line 61, and FIG. 4, Sheet 3 of 4, the routine will increase a transmission parameter (substantively the same as "increasing" in the instant invention). Zweig does not teach of changing a transmission rate and ending a method.

However, in the same field of endeavor, Tanigichi teaches in column 2, line 1, "dynamic adjustment of a transmission rate" (substantively the same as "transmission rate" in the instant invention).

Tanigichi also teaches in column 29, lines 25-29, and FIG. 26, Sheet 22 of 27, a flow chart involving a setting change of a transmission rate, where the flow chart ends at decision step item 119 and if "YES" is correct, ending the flow chart at "STREAM TRANSMISSION END" (substantively the same as "ending the method" in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to combine the invention of Tanigichi with the invention of Zweig since Tanigichi provides a method for controlling the transmission rate based on quality of service, and could include the error factor thresholds of Zweig, enhancing the usage of the thresholds by employing them in controlling the transmission rate.

As for Claim 11, as discussed in the rejection of Claim 4, Zweig teaches a method. Zweig fails to teach where the transmission rate is unchanged at the lowest one or the highest one. Tanigichi further teaches these limitations.

Tanigichi further teaches in column 4, lines 42-52, a transmission rate control range setting means for setting a minimum transmission rate if the transmission rate is sufficiently low and a maximum transmission rate if the transmission rate is sufficiently high (substantively the same as “the transmission rate remains unchanged if the transmission rate at the step of reducing the transmission rate is the lowest one, or the transmission rate at the step of increasing transmission rate is the highest one” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to combine the invention of Tanigichi with the invention of Zweig since Tanigichi provides a method where transmission rates are controlled within a range to enhance quality of service, and the error factor thresholds of Zweig can be included in the quality of service settings, enhancing the usage of the thresholds by employing them in controlling the transmission rate.

Claims 5, 7, and 9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Zweig in view of Tanigichi, and further in view of Cheng (Patent No.: US 6,745,352 B2), hereafter referred to as Cheng.

As for Claim 5, Zweig teaches in column 10, lines 23-31, and FIG. 5, Sheet 4 of 4, of how the fragmentation threshold transmission parameter, which can be determined by the error factor upper threshold as discussed above with respect to Claim 4, will

determine the number of packets that will be used to send 1500 bytes of data, and each packet will have a corresponding acknowledgement packet (substantively the same as “determining a first number according to the $Q_H(F_n)$... transmitting a first plurality of packets, wherein the number of the first plurality of packets equals to the first number ... receiving a first plurality of acknowledge packets, each one in the first plurality of acknowledge packets responding to one of the first plurality of packets” in the instant invention).

Zweig further teaches in column 8, lines 33-36, the transmission error factor is determined by the amount of acknowledgement packet(s) received in response to the transmission of the one or more data packet(s) (substantively the same as “calculating the first estimated PER $P_1(r_n)$ according to the number of the first acknowledge packets and the number of the first plurality of packets” in the instant invention). Zweig fails to teach wherein the first number is large enough such that the $P_1(r_n)$ is substantially reliable.

In the same field of endeavor, Cheng teaches in column 7, lines 33-36, error estimates can be made more reliable by observing a large predetermined number of data frames (substantively the same as “wherein the first number is large enough such that the $P_1(r_n)$ is substantially reliable” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to combine the invention of Cheng with the invention of Zweig since Cheng provides an alternative method of accurately and efficiently determining the error rate and can be incorporated into the method of Zweig to determine error factors and thresholds.

As for Claims 7 and 9, as discussed in the rejections of Claim 5, Zweig in view of Tanigichi and Chen teach determining a second number according to $Q_L(r_n)$, transmitting a second plurality of packets, wherein the number of a second plurality of packets is determined according to $Q_L(r_n)$, receiving a plurality of acknowledge packets each one of acknowledge packets responding to one of the packets, calculating an estimated PER, according to a number of acknowledge packets, and where a number is large enough such that it is substantially reliable. Zweig further teaches in column 10, lines 23-31, and FIG. 5, Sheet 4 of 4, of how the fragmentation threshold transmission parameter, which can be determined by the error factor lower threshold as discussed above with respect to Claim 4, will determine the number of packets that will be used to send 1500 bytes of data, and each packet will have a corresponding acknowledgement packet, and Zweig also teaches in column 8, line 21, and in FIG. 4, Sheet 3 of 4, that the method of Zweig is a loop, implicitly teaching that multiple groups of packets are transmitted and acknowledged in each revolution (substantively the same as “determining a second number according to the $Q_L(r_n)$ ” and “a second” and “the second” and “ $P_2(r_n)$ ” in the instant invention).

Claims 6, 8, and 10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Zweig in view of Tanigichi and Cheng, and further in view of Varma et al. (Patent No.: US 6,643,322 B1), hereafter referred to as Varma.

As for Claims 6, 8, and 10, as discussed in the rejection of Claim 4, Zewig teaches a first plurality of packets and a second plurality of packets. Zewig fails to teach checking if M consecutive packets of the first or second plurality of packets failed to be acknowledged and where M is an integer. However, in the same field of endeavor, Varma teaches in column 6, lines 18-22, and FIG. 5, Sheet 3 of 4, monitoring a number of successive no-acknowledgement messages (substantively the same as checking “if M consecutive packets of the first or second plurality of packets that have failed to be acknowledged, ... , wherein M is an integer” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to combine the invention of Varma with the invention of Zweig since Varma provides an alternative method of measuring errors by monitoring the number of successive no-acknowledgement messages and comparing it to a threshold and can be incorporated into the error-measuring and error-threshold aspects of Zweig.

Claims 12-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Zweig in view of Taniguchi, and further in view of Kilkki et al. (Patent Number: 6,011,778), Huang et al. (Patent No.: US 7,145,876 B2), Amirijoo et al. (Patent No.: US 6,728,217 B1), and Sano et al. (Patent No.: US 6,246,735 B1), hereafter referred to as Kilkki, Huang, Amirijoo, and Sano, respectively.

As for Claim 12, as discussed in the rejection of Claim 4, Zweig teaches a high PER and a low PER. Zweig fails to teach an adapting direction parameter, estimated throughput associated with transmission rate, determining estimated throughput being

Art Unit: 2619

smaller than the previous estimated and if adapting direction parameter is of a first or second direction, performing range adaptation for low and high PER thresholds, and changing transmission rate.

However, in the same field of endeavor, Kilkki teaches in column 3, lines 48-52, a rate variation indicator that indicates whether the cell transmission rate is increasing or decreasing at a particular time, and is used to derive a value that is loaded into cells for transmission (substantively the same as “recording an adapting direction parameter D_n , being one of a first direction, a second direction, and a third value, and the adapting direction parameter representing that the transmission rate is adapted to a higher one, a lower one, and the same one respectively” and “a previous adapting direction parameter D_{n-1} is of the first direction” and “the previous adapting direction parameter D_{n-1} is of the second direction” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to combine the invention of Kilkki with the invention of Zweig since Kilkki provides a method where transmitters can inform receivers of transmission rate changes, giving the receivers in Zweig the potential to adapt to network changes more quickly.

In the same field of endeavor, Huang teaches in column 3, lines 48-55, of a data throughput that is calculated from a channel's raw bit rate, which is related to the bit rate of the channel (substantively the same as “computing an estimated throughput $p(m)$ associated with the transmission rate r_n ” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to combine the invention of Huang with the invention of Zweig since Huang provides a method where throughput

can be calculated from the error rate, allowing the network components of Zweig to calculate the effectiveness in changes made to transmission parameters.

In the same field of endeavor, Walton teaches in column 12, lines 47-51, a throughput of a new value may be compared against the throughput of a previous value, which includes determining if the throughput of the new value is less than the throughput of the previous value (substantively the same as “determining whether the current estimated throughput $p(r_n)$ being smaller than the previous estimated throughput $p(r_{n-1})$ ” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to combine the invention of Walton with the invention of Zweig since Walton provides a method where throughput changes are determined from monitored throughput, allowing Zweig to determine relative improvements from enacting transmission parameter changes.

In the same field of endeavor, Amirijoo teaches in column 6, lines 13-18, a BER-related lower quality threshold that is incrementally increased and where a data rate is changed to a higher data rate (substantively the same as “performing a first range adaptation for adapting the low PER threshold $a_L(r_n)$ associated with the current transmission rate r_n ... and increasing the transmission rate” in the instant invention).

Amirijoo also teaches in column 6, lines 59-62, of setting the lower quality threshold stored in memory to a lowest value, also stored in memory, and where the data rate is reduced (substantively the same as “performing a second range adaptation for adapting the ... the low PER threshold $Q_L(r_{n-1})$ associated with the previous transmission rate r_{n-1} , and decreasing the transmission rate” in the instant invention).

It would have been obvious to one skilled in the art at the time of the invention to combine the invention of Amirijoo with the invention of Zweig since Amirijoo provides a method where BER-related lower thresholds can be changed to suit network conditions, adding flexibility to the threshold techniques of Zweig.

In the same field of endeavor, Sano teaches in column 5, lines 2-4, of a threshold that is varied to suit conditions (substantively the same as “adapting the high ... threshold” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to combine the invention of Sano with the invention of Zweig since Sano provides a method where upper thresholds can be changed to suit network conditions, adding flexibility to the threshold techniques of Zweig.

As for Claim 13, as discussed in the rejection of Claim 4, Zweig teaches high PER threshold and a low PER threshold. Zweig fails to teach increasing by a first and second predetermined values. Amirijoo further teaches in column 6, lines 15-24, the lower quality threshold is incrementally increased (substantively the same as “increasing the $Q_L(r_n)$... by a first predetermined value” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to combine the invention of Amirijoo with the invention of Zweig since Amirijoo provides a method where BER-related lower thresholds can be changed to suit network conditions, adding flexibility to the threshold techniques of Zweig.

Sano further teaches in column 5, lines 22-24, the threshold is shifted on the basis of a predetermined conversion equation (substantively the same as “increasing ...

by ... a second predetermined value” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to combine the invention of Sano with the invention of Zweig since Sano provides a method where upper thresholds can be changed to suit network conditions, adding flexibility to the threshold techniques of Zweig.

As for Claim 14, as discussed in the rejection of Claim 4, Zweig teaches a high PER threshold and a low PER threshold. Zweig fails to teach decreasing by a fourth predetermined values. Sano further teaches in column 5, lines 3-4, the threshold is reduced (substantively the same as “decreasing ... by ... a fourth predetermined value” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to combine the invention of Sano with the invention of Zweig since Sano provides a method where upper thresholds can be changed to suit network conditions, adding flexibility to the threshold techniques of Zweig.

Claim 15 is rejected under 35 U.S.C. 103(a) as being unpatentable over Zweig in view of Tanigichi, Kilkki, Huang, Amirijoo, Sano, and further in view of Barazeche et al. (Patent Number: 4,577,309), hereafter referred to as Barazeche.

As for Claim 15, as discussed in the rejection of Claim 12, Zweig in view of Huang teaches throughput and PER. Zweig fails to teach computing a final estimated value, where the final value equals to the second value if the second value is valid, otherwise, the final value equals the first value. However, in the same field of endeavor,

Art Unit: 2619

Barazeche teaches in column 11, lines 2-9, an excess value is recorded and the excess value equals to a new excess if the new excess value is greater than a past excess value, otherwise, the excess value recorded equals to a past excess value. It would have been obvious to one skilled in the art at the time of the invention to combine the invention of Barazeche with the invention of Zweig since Barazeche provides a method to determine the single greatest amount that a value within a sequence of values exceeds a threshold, allowing the method of Zweig to determine the greatest amount a transmission parameter exceeds its threshold.

Claim 16 is rejected under 35 U.S.C. 103(a) as being unpatentable over Zweig in view of Tanigichi, Kilkki, Huang, Amirijoo, Sano, Barazeche, and further in view of Ghahramani (Saeed Ghahramani, Fundamentals of Probability – 2nd ed., 2000, Prentice-Hall, Inc., Upper Saddle River, NJ), hereafter referred to as Ghahramani.

As for Claim 16, as discussed in the rejection of Claim 4, Zweig teaches a method. Zweig fails to teach the equation $p(r_n) = p_0(r_n) * (1 - P(r_n))$. However, in the same field of endeavor, Ghahramani teaches in the upper half of page 109, equation $P(AB^c) = P(A)[1 - P(B)]$. It would have been obvious to one skilled in the art at the time of the invention to combine the teachings of Ghahramani with the invention of Zweig since Ghahramani teaches a formula applicable to determining probability of errors and related error rates, and can aid in determining the error factors and thresholds of Zweig.

Claims 17 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Zweig in view of Tanigichi, Kilkki, Huang, Amirijoo, Sano, and further in view of Bringby et al. (Patent No.: US 6,285,883 B1), hereafter referred to as Bringby.

As for Claim 17, as discussed in the rejection of Claim 12, Zweig in view of Kilkki teaches PER and adapting direction parameters. Zweig fails to teach calculating a ping-pong parameter where the pin-pong parameter is increased when a value at different times represents an opposite direction to each other, otherwise, the ping-pong parameter is reset, and determining whether the ping-pong parameter is larger than a ping-pong threshold. However, in the same field of endeavor, Bringby teaches in column 5, lines 6-13 and 42-63, of a function $f(\text{osc_rate})$ that is a function of the rate of oscillating handoff osc_rate , which represents handoff to different cells (substantively the same as “calculating a ping-pong parameter based on the adapting direction parameters D_n and D_{n-1} ” in the instant invention).

Bringby also teaches in column 5, lines 6-13 and 42-63, the function $f(\text{osc_rate})$ increases though higher normalized weighting as the mobile phone is handed off at a higher rate between two base stations (substantively the same as “the ping-pong parameter is increased when D_n and D_{n-1} represents the opposite direction to each other” in the instant invention).

Bringby also teaches in column 5, lines 29-33, if the handoff oscillation rate is above an acceptable level, measures are taken to decline the oscillation rate to an acceptable level (substantively the same as “determining whether the ping-pong parameter being larger than a ping-pong threshold, if yes, processing” in the instant

invention). It would have been obvious to one skilled in the art at the time of the invention to combine the teachings of Bringby with the invention of Zweig since Bringby provides a method where a distance between margins of a hysteresis is varied to optimize performance using an optimal distance between margins, and this method can be incorporated for optimizing the distance between the thresholds of Zweig.

As for Claim 18, as discussed in the rejection of Claim 4, Zweig in view of Tanigichi teaches increasing or decreasing a transmission rate. Zweig fails to teach a step for avoiding ping-pong event is performed before the step of changing the transmission rate. Bringby also teaches in column 2, lines 52-56, a method of setting appropriate hysteresis levels for cells in order to reduce the rate of oscillating handoff, implicitly teaching that the method of Bringby is intended to be completed prior to a transmission of cells and prior to subsequent handoffs and their associated changes in mobile station and base station communication links (substantively the same as “the step for avoiding ping-pong event is performed before” in the instant invention). It would have been obvious to one skilled in the art at the time of the invention to combine the teachings of Bringby with the invention of Zweig since Bringby provides a method where a distance between margins of a hysteresis is varied to optimize performance using an optimal distance between margins, and this method can be incorporated for optimizing the distance between the thresholds of Zweig.

Response to Arguments

I. Arguments for rejections made under *35 USC § 103*.

Applicant's arguments, see pages 9-13, filed 12/5/2007, with respect to Claims 1-3 have been fully considered and are persuasive. The rejections of Claims 1-3 have been withdrawn.

Applicant's other arguments filed 12/5/2007 have been fully considered but they are not persuasive.

Applicant submits that Zweig fails to disclose or suggest "a high PER threshold $Q_H(r_n)$ " and "a low PER threshold $Q_L(r_n)$ ". Examiner respectfully disagrees, since Zweig teaches in column 8, lines 37-41 and 53-57, of a pre-determined upper threshold related to a maximum tolerated transmission error factor, and of a pre-determined lower threshold related to a minimum transmission error factor, and since Zweig is concerned with transmission of packets and these threshold are related to the errors effecting the transmission of packets, the thresholds taught by Zweig are substantively the same as "a high PER threshold $Q_H(R)$ " and "a low PER threshold, denoted as $Q_L(R)$ " of applicant.

Applicant also submits that Zweig teaches that a "fragmentation threshold", and not a "transmission rate" of applicant, is reduced or increased in relation to a error rate associated with thresholds. Although Zweig fails to teach a transmission rate as being adjusted in relation to a error rate associated with thresholds, the "fragmentation threshold" of Zweig is closely related to transmission rate since it determines how large a packet is allowed to be when transmitted, which can effect a transmission rate since

Art Unit: 2619

smaller packet sizes mean greater overhead as a greater number of packets, each with its own header, will require more encapsulation and more headers and more packet processing, reducing how much data can be transmitted in a certain interval of time. As a result, the Taniguchi reference is applied, which, as discussed in the rejection of Claim 4, teaches “dynamic adjustment of a transmission rate” (substantively the same as “transmission rate” in the instant invention).

Applicant also submits that the Yao reference fails to disclose a first estimated $PER\ P1(r_n) = (N1 - A1) / N1$. Examiner respectfully disagrees. Yao teaches in column 2, lines 31-33, a method involving transmitting data and accepting acknowledgments of receipt of the transmitted data, and, in column 4, lines 23-33, and FIG. 2, Sheet 2 of 7, a sequence of $dP = 17$ packets, and where $dL = 6$ packets are lost or damaged, and where the loss rate, L , is the fraction of packets that are lost in the sequence, or $L = 6/17 = 0.35$. In detail, this shows that 17 packets (substantively the same as “ $N1$ ” of applicant) are transmitted, and 6 packets are lost or damaged, which is the same as 17 packets transmitted minus 7 packets received intact and whose reception of data can be acknowledged (substantively the same as “ $N1 - A1$ ” of applicant), and this results in a packet loss rate of $L = 6/17 = 0.35$ (substantively the same as the packet error rate “ $PER\ P1(r_n) = N1 - A1 / N1$ ” of applicant).

Applicant submits Claims 4 and 11 are patentable over the cited references for the same reason that Claim 1 is patentable since Claim 4 is an independent claim that includes limitations similar to claim 1. Examiner respectfully disagrees, since Claim 4 does not include many limitations of Claim 1, such as “a first estimated $PER \dots$

$P1(r_n) = N1 - A1 / N1$ and “a second estimated PER ... $P2(r_n) = (N2 - A1 - A2 / N2)$ ” found in Claim 1. Please see the rejection of Claim 4.

Applicant submits that Claims 5-10 and 12-18 depend directly or indirectly from independent claim 4, so they are automatically patentable along with claim 4. Examiner respectfully disagrees, as Claim 4 is properly rejected, as discussed in the rejection of Claim 4.

Allowable Subject Matter

Claims 1-3 are allowed.

In regards to Claim 1, the prior art does not teach or fairly suggest a method that includes calculating a second estimated packet error rate (PER), $P2(r_n) = (N2 - A1 - A2) / N2$, corresponding to a current transmission, where the number of the second plurality of packets is $(N2 - N1)$, $N1$ is the number of the first plurality of packets transmitted, $A1$ is the number of the first plurality of acknowledge packets, and $A2$ is the number of the second plurality of acknowledge packets, and calculating the second estimated packet error rate (PER) of $N2$ packets that includes both the second plurality and the first plurality of packets after calculating a first estimated PER of only the first plurality of packets.

The closest prior art to Yao discloses in column 2, lines 31-33, a method involving transmitting data and accepting acknowledgments of receipt of the transmitted data, and, in column 4, lines 23-33, and FIG. 2, Sheet 2 of 7, a sequence of $dP = 17$ packets, and where $dL = 6$ packets are lost or damaged, and where the loss rate, L , is

the fraction of packets that are lost in the sequence, or $L = 6/17 = 0.35$, where, in detail, this shows that 17 packets (substantively the same as “N1” of applicant) are transmitted, and 6 packets are lost or damaged, which is the same as 17 packets transmitted minus 7 packets received intact and whose reception of data can be acknowledged (substantively the same as “N1-A1” of applicant), and this results in a packet loss rate of $L=6/17=0.35$ (substantively the same as the packet error rate “PER $P1(r_n)=N1-A1/N1$ ” of applicant).

Claims 2 and 3 are allowed through dependence from Claim 1.

Conclusion

3. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JOSHUA SMITH whose telephone number is (571)270-1826. The examiner can normally be reached on Monday-Thursday 9:30am-7pm, Alternating Fridays 9:30am-6pm, EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hassan Kizou can be reached on 571-272-3088. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Joshua Smith
Patent Examiner

/Hassan Kizou/
Supervisory Patent Examiner, Art Unit 2619